



2121
Docket No. RSW920010159US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: R. F. Brabson, et al

Serial No.: 10/045,556

Filed: 01/11/2002

For: Dynamic Modification of Application Behavior in Response to Changing Environmental Conditions

Assistant Commissioner of Patents

Washington, DC 20231

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and Form PTO-1449 and 8 references.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on April 25, 2002

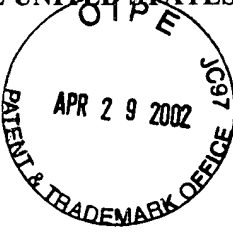
Catherine M. Robbins

(Name of person mailing paper or fee)

[Signature]

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : April 25, 2002
R. F. Brabson, et al : IBM Corporation
Filing Date: 01/11/2002 : Dept.T81/Bldg. 503
Serial No. 10/045,556 : P.O. Box 12195
For: Dynamic Modification of Application Behavior in Response : Research Triangle Park,
to Changing Environmental Conditions : North Carolina 27709



INFORMATION DISCLOSURE STATEMENT

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Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

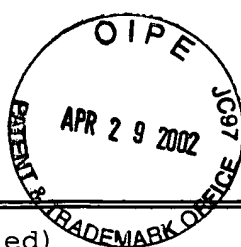
A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted

A handwritten signature in cursive script, appearing to read "Jerry W. Herndon".

Jerry W. Herndon
Attorney for Applicants
Registration No. 27,901

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FAX: 919-254-4330
Docket No. RSW920010159US1



FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

RSW920010159US1

SERIAL NO.

10/045,556

APPLICANT: R. F. Brabson, et al

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FILING DATE:

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	5 8 3 5 4 8 4	11/10/1998	Kabushiki	370	230	09/12/1997
AB	5 4 5 7 6 8 7	10/10/1995	Network Equipment Tech.	370	85.3	09/02/1993
AC	5 2 8 0 4 7 0	01/18/1994	AT&T	370	13	02/03/1993
AD	6 0 4 1 3 6 6	03/21/2000	IBM	710	5	02/02/1998
AE	5 8 9 2 7 5 4	04/06/1999	IBM	370	236	06/07/1996

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							

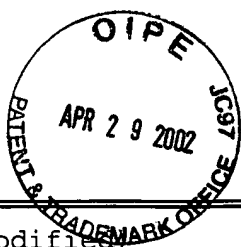
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	
AS	

EXAMINER

DATE CONSIDERED

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	5 5 3 0 6 9 5	06/25/1996	NEC USA, Inc.	370	17.000	12/15/1993
	AB	5 4 9 7 5 0 4	03/05/1996	The Trustees of Columbia University	455	33.2	05/13/1994
	AC	5 4 1 4 8 4 5	05/09/1995	IBM	395	650	
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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